

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Robert Miller

Date: March 25, 2004

Group Art Unit:

2157

**IBM** Corporation

Examiner:

H. El Chanti

Intellectual Property Law

Serial No.:

09/732,189

Dept. 917, Bldg. 006-1

Filed:

December 7, 2000

3605 Highway 52 North

Title: PEER PROTOCOL STATUS QUERY IN :

Rochester, MN 55901

CLUSTERED COMPUTER SYSTEM

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

APK 0 1 2004

Technology Center 2100

Sir:

Applicants request that the information listed on the attached Form PTO/SB/08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

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Serial No. 09/732,189 Docket No. ROC920000125US1

- 1 -

This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(c) before a final action or notice of allowance. The filing fee of \$180.00 (for other than a small entity) is to be charged to our Deposit Account No. 09-0465.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

March 25, 2004

(Date of Deposit)

Debra A. Peterson

Respectfully submitted,

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PTO/SB/08A MAR 2 9 2004 USINFORMATION DISCLOSURE STATEMENT BY ABBLE CANT

Sheet 1 of 2

Application Number.:

Filing Date:

First Named Inventor:

Art Unit:

**Examiner Name:** 

Attorney Docket Number:

09/732,189

December 7, 2000

Robert Miller

2157

H. El Chanti

ROC920000125US1

## **U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No.1	<u>Document Number</u> Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
		US - 5.528.605	06-18-1996	Ywoskus et al.	
		US - 6.115.749	09-05-2000	Golestani et al.	
		US - 6.298.041 B1	10-02-2001	Packer	
		US - 6.496.481 B1	12-17-2002	Wu et al.	RECEIVED
		US - 6.545.981 B1	04-08-2003	Garcia et al.	APR 8 1 2004
		US - 6,574,668 B1	06-03-2003	Gubbi et al.	71 1 7 1 7 1 7 1 7 1 7 1
	ļ	US - 6.625.118 B1	09-23-2003	Hadi Salim et al. Te	chnology Center 2100
	<u> </u>	US -			
		US -			
		US -			
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## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.1	Foreign Patent Document  Country <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T⁵

Examiner Signature	Date Considered	

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Applicant's unique citation designation number (optional). See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of

the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard

ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

PTO/SB/08A MAR 2 9 2004 PER STATEMENT BY APPLICANT

Application Number.: Filing Date:

First Named Inventor:

09/732,189 December 7

December 7, 2000 Robert Miller

Art Unit: 2

2157 H. El Chanti

Sheet 2 of 2 Attorned

Attorney Docket Number: ROC920000125US1

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

**Examiner Name:** 

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Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and or country where published.			
		PAUL et al., "Reliable Multicast Transport (RMTP)," April 1997, IEEE Journal on Selected Areas in Communications, Vol. 15, No. 3, pp. 407-421.			
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